



14 Oktober 2011  
14 October 2011  
P.U. (A) 348

WARTA KERAJAAN PERSEKUTUAN

*FEDERAL GOVERNMENT  
GAZETTE*

PERATURAN-PERATURAN SURUHANJAYA SEKURITI  
(KETIDAKPAKAIAN JADUAL 1) 2011

*SECURITIES COMMISSION  
(DISAPPLICATION OF SCHEDULE 1) REGULATIONS 2011*



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AKTA SURUHANJAYA SEKURITI 1993

PERATURAN-PERATURAN SURUHANJAYA SEKURITI  
(KETIDAKPAKAIAN JADUAL 1) 2011

PADA menjalankan kuasa yang diberikan oleh seksyen 159 Akta Suruhanjaya Sekuriti 1993 [*Akta 498*], Suruhanjaya, dengan kelulusan Menteri, membuat peraturan-peraturan yang berikut:

**Nama dan permulaan kuat kuasa**

1. Peraturan-peraturan ini bolehlah dinamakan **Peraturan-Peraturan Suruhanjaya Sekuriti (Ketidakpakaian Jadual 1) 2011**.

(2) Peraturan-Peraturan ini mula berkuat kuasa pada 15 Oktober 2011.

**Ketidakpakaian Jadual 1**

2. Bagi maksud Bahagian IIIA Akta, suatu entiti berkepentingan awam yang dinyatakan di bawah Jadual 1 tidak termasuk seorang pemegang Lesen Perkhidmatan Pasaran Modal bagi menjalankan aktiviti terkawal berniaga dalam sekuriti terhadap kepada unit amanah dan didaftarkan sebagai Penasihat Korporat Unit Amanah dengan suatu organisasi pengawalseliaan sendiri yang diiktiraf oleh Suruhanjaya.

Dibuat 5 Oktober 2011  
[KK/BPKA/K1/(S)/483/9/1; PN(PU2)523/IV]

TAN SRI ZARINAH ANWAR  
*Pengerusi*  
*Suruhanjaya Sekuriti*

Diluluskan

DATO' SERI AHMAD HUSNI MOHAMAD HANADZLAH  
*Menteri Kewangan Kedua*

SECURITIES COMMISSION ACT 1993

SECURITIES COMMISSION (DISAPPLICATION OF SCHEDULE 1) REGULATIONS 2011

IN exercise of the powers conferred by section 159 of the Securities Commission Act 1993 [Act 498], the Commission, with the approval of the Minister, makes the following regulations:

**Citation and commencement**

1. (1) These regulations may be cited as the **Securities Commission (Disapplication of Schedule 1) Regulations 2011**.

(2) These Regulations come into operation on 15 October 2011.

**Disapplication of Schedule 1**

2. For the purposes of Part IIIA of the Act, a public interest entity specified under Schedule 1 shall not include a holder of the Capital Markets Services Licence for the carrying on of the regulated activity of dealing in securities restricted to unit trust and is registered as a Corporate Unit Trust Adviser with a self-regulatory organization recognized by the Commission.

Made 5 October 2011

[KK/BPKA/K1/(S)/483/9/1; PN(PU2)523/IV]

TAN SRI ZARINAH ANWAR  
*Chairman*  
*Securities Commission*

Approved

DATO' SERI AHMAD HUSNI MOHAMAD HANADZLAH  
*Second Minister of Finance*